



PTO/SB/21 (09-04)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

10/533,622

Filing Date

30 Oct 2003 (IA DATE)

First Named Inventor

Judy Lieberman

Art Unit

To be assigned

Examiner Name

To be assigned

Attorney Docket Number

033393-055194

**ENCLOSURES (Check all that apply)**

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
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<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		

Remarks

The Commissioner is hereby authorized to charge any fee deficiency or credit any overpayment to the Nixon Peabody LLP Deposit Account No. 50-0850.

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name	NIXON PEABODY LLP		
Signature			
Printed name	David S. Resnick/Leena H. Karttunen		
Date	April 4, 2006	Reg. No.	34,235/L0207

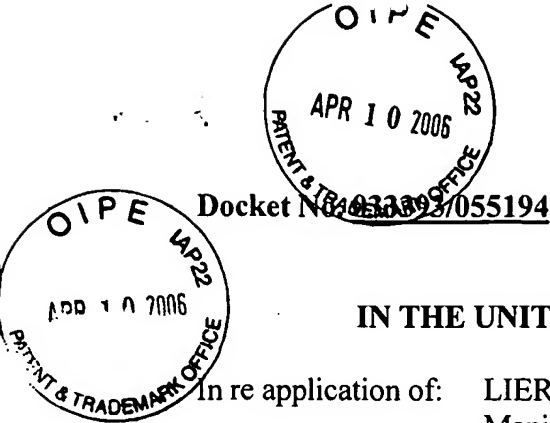
**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or printed name	Rebecca J. Goodwin	Date	April 4, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Docket No. **2003-055194**

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: **LIERBERMAN, Judy; SHANKAR, Premrata; NARASIMHASWAMY, Manjunath; SONG, Erwei; LEE, Sang-Kyung; INCE, Nedim**

Application No.: **10/533,622** Group No.: **Not yet assigned**  
Filed: **October 30, 2003 (IA DATE)** Examiner: **Not yet assigned**  
For: **METHODS FOR TREATING AND PREVENTING APOPTOSIS-RELATED DISEASES USING RNA INTERFERING AGENTS**

**CERTIFICATE OF MAILING (37 C.F.R. SECTION 1.8(a))**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to MAIL STOP AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

April 4, 2006  
Date

Rebecca J. Goodwin

(type or print name of person mailing paper)

Rebecca J. Goodwin

Signature of person mailing paper

**MAIL STOP AMENDMENT**  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

Sir/Madam:

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

In accordance with the provisions of 37 C.F.R. §1.56, 1.97, and 1.98, Applicants wish to bring to the Examiner's attention the following references, References B1 and C12-C15 cited in the attached Form PTO/SB/08 a and b.

**REMARKS**

In accordance with the provisions of 37 C.F.R. §1.97, this statement is being filed:

- (1) within three (3) months of the Filing Date or before the mailing date of the First Office Action on the merits; or

- X   (2) within three months of the mailing date of the PCT International Search Report;  
or
- (3) after the period defined in (1) but before the mailing date of a **Final Rejection** or  
**Notice of Allowance**, and the requisite Certification or fee under Rule 1.17(p),  
namely **\$180.00**, is included herein; or
- (4) after the mailing date of a **Final Rejection** or **Notice of Allowance** but before the  
payment of the **Issue Fee**, and the requisite Certification, petition, and petition  
fee are included herein.

  X   A copy of the International Search Report is enclosed herewith.

It is respectfully requested that each of the documents shown on the attached form(s)  
PTO/SB/08a and b be made of record in this application.

Copies of these documents (CHECK ONE):

  X   are enclosed herewith that have not been previously submitted; or

       have been cited in the parent application, and are thus not being resubmitted herein.

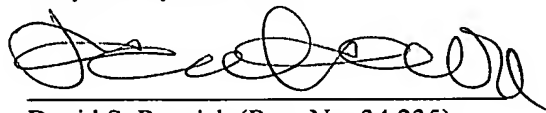
  X   Pursuant to 37 C.F.R. §1.98, copies of issued U.S. patents listed on the attached form  
PTO/SB/08, if any, are no longer required and therefore are not enclosed. These  
documents can be found in the U.S. PTO issued patents database.

#### FEE AUTHORIZATION

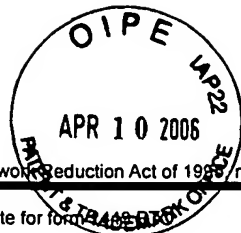
The Commissioner is authorized to charge fee deficiencies or credit overpayments associated  
with this submission to the NIXON PEABODY LLP Deposit Account No. 50-0850.

Date: April 4, 2006

Respectfully submitted,



David S. Resnick (Reg. No. 34,235)  
Leena H. Karttunen (L0207)  
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<b>Complete if Known</b>			
		Application Number	10/533,622		
		Filing Date	October 30, 2003 (IA DATE)		
		First Named Inventor	Judy Lieberman		
		Art Unit	To be assigned		
		Examiner Name	To be assigned		
Sheet	1	of	1	Attorney Docket Number	033393-55194

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		Number – Kind Code <sup>2</sup> (if known)			
	A1	6,228,642 B1	05-08-2001	Baker et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	
		Country Code <sup>3</sup> – Number <sup>4</sup> – Kind Code <sup>5</sup> (if known)				

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	
	C12	SONG, Erwei et al.; Nature Medicine; 9(3):347-351 (March 2003)	
	C13	SØRENSEN, Dag R. et al.; J. Mol. Biol.; 327:761-766 (2003)	
	C14	WALL, Nathan R. et al.; The Lancet; 362:1401-1403 (October 25, 2003)	
	C15	ZHANG, Di et al.; The Journal of Biological Chemistry; 277(40):37430-37438 (October 4, 2002)	

Examiner Signature	Date Considered
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\*EXAMINER if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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